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Date of Deposit: April 2, 2002

PCT
PATENT APPLICATION

Attorney Docket No. 22058-514 NATL

JC05 Rec'd PCT/PTO 02 APR 2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Collins *et al.*

DATE OF DEPOSIT June 13, 2001
OF US NATIONAL
APPLICATION:

EXAMINER: Not Assigned

SERIAL NUMBER: 09/868,123

ART UNIT: Not Assigned

FOR: CYTOKINE RECEPTOR CHAINS

U.S. Patent and Trademark Office
BOX Sequence, P.O. 2327
Arlington, VA 22202

TRANSMITTAL

Transmitted herewith for filing in the present application are the following documents:

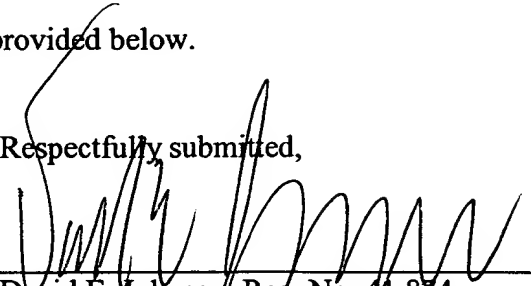
1. Response to Notice to Comply with Missing Requirements Under 37 C.F.R. § 371 (2 pgs.);
2. Copy of Notice to Comply With Missing Requirements (2 pgs.);
3. Preliminary Amendment (2 pgs.);
4. Paper Copy of Sequence Listing (9 pgs.);
5. Computer Readable Sequence Listing (1 diskette);
6. Statement in Support of Computer Readable Form Submission Under 37 C.F.R. § 1.821(f) (1 pg.);
7. Three Combined Declaration and Power of Attorney forms (4pgs. each; 12 pgs total);
8. Petition for Extension of Time (1 pg.);
9. \$980.00 Check (#12285) for five-month extension of time and \$65 Check (#12284) for late surcharge; and
10. Return Postcard.

The Commissioner is authorized to charge any additional fees due, or credit any overpayment of same, to the undersigned's account, Deposit Account No. 50-0311, Reference No. 22058-514 NATL.

Collins, et al.
U.S.S.N. 09/868,123

Should any questions or issues arise concerning this application, the Examiner is encouraged to contact the undersigned at the telephone number provided below.

Respectfully submitted,


David E. Johnson, Reg. No. 41,874
Attorney for Applicants
c/o MINTZ, LEVIN
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Boston, Massachusetts 02111
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PATENT TRADEMARK OFFICE

Dated: April 2, 2002

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**RESPONSE TO NOTICE TO COMPLY WITH MISSING REQUIREMENTS UNDER
35 U.S.C. 371**

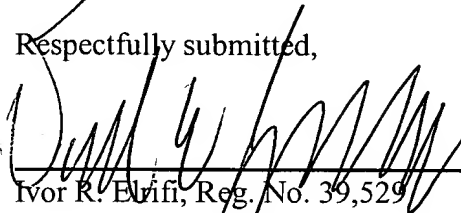
In response to the Notice to Comply with Missing Requirements under 35 U.S.C. 371, mailed September 4, 2001, Applicants submit a paper copy of the sequence listing; a computer readable copy of the sequence listing; a statement in support of the computer readable format; executed Combined Declaration and Power of Attorney documents; a Petition for Extension of Time; payment of \$980.00 to cover five-month extension of time (Check No. 12285) and payment of \$65.00 to cover surcharge for late filing (Check No. 12284) With the extension of time, the response is due on or before April 4, 2002

Please charge any additional fee that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 22058-514 NATL.

Collins, et al.
U.S.S.N. 09/868,123

Should any questions or issues arise concerning this application, the Examiner is encouraged to contact the undersigned at the telephone number provided below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'David E. Johnson', is written over a horizontal line.

Ivor R. Elrifi, Reg. No. 39,529

David E. Johnson, Reg. No. 41,874

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Dated: April 2, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/868123	COLLINS	M 22058-514NAT

INTERNATIONAL APPLICATION NO.

PCT/US99/29493

I.A. FILING DATE	PRIORITY DATE
13 DEC 99	14 DEC 98

DATE MAILED:

04 SEP 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- | | |
|--|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Indication of Small Entity Status. |
| <input checked="" type="checkbox"/> Copy of the international application. | <input checked="" type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventors(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input type="checkbox"/> Other: |
| <input type="checkbox"/> Priority Document. | |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☒ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

- Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

Paulette Kidwell, Paralegal